## I. PRESSURE DIFFERENCES ACROSS THE LUNGS

- A. Driving pressure: P1 P2
  - 1. pressure difference must exist between two points in a tube or vessel for flow to occur
  - 2. example -
- B. Transairway pressure (Pta): Pta = Pb PA
  - 1. definitions
    - a. Pb = barometric pressure
    - b. PA = alveolar pressure
  - 2. Pta can either be positive or negative
  - 3. moves air into an out of lungs
- C. Transpulmonary pressure (Ptp): Ptp = PA Ppl
  - 1. definition Ppl = pleural pressure
  - 2. examples
    - a. inspiration -
    - b. exhalation -
- D. Transthoracic pressure (Ptt): Ptt = PA Pb
  - 1. similar to transairway pressure (Pta)
  - 2. can either be positive or negative
- E. Role of the diaphragm in ventilation
  - 1. during inspiration the diaphragm flattens and increases the thoracic volume
    - a. Ppl and PA decrease -
    - b. if PA < Pb, then gas moves from atmosphere to lungs
    - c. at end inspiration and end exhalation  $P\hat{A} = Pb$
  - 2. during exhalation the diaphragm relaxes and moves up
    - a. thoracic volume decreases
    - b. PA > Pb and air moves out
  - 3. during quiet respiration diaphragm excursion is 1.5 cm and Ppl is 3 to 6 cm H2O
    - a. during deep inspiration Ppl may dec. 50 cm H2O below atm. press.
    - b. during forced expiration Ppl may rise to 70-100 cm H2O above atm.

## **II. COMPLIANCE**

- A. Definition compliance is a measure of the distensibility of the chest
  - and/or lungs. It is the ease with which the lung volume is changed.
  - 1. compliance = change in volume / change in pressure
  - 2. compliance = Liters(BTPS)/cm H2O or ml(BTPS)/cm H2O
  - 3. increasing compliance there is a larger volume change per unit of pressure change BREATHING BECOMES EASIER
  - 4. decreasing compliance there is a smaller volume of change per unit of pressure change BREATHING BECOMES HARDER
- B. Elastic recoil of the lung
  - 1. lungs are stretched during inspiration
    - a. thorax enlarged by inspiratory muscles (diaphragm flattens)
    - b. pleural surfaces are held together by negative pressures
  - 2. when inspiratory muscles relax lungs return to resting expiratory level (REL)
  - 3. the greater the force applied (stress or pressure) the more the lungs stretch (strain or inc. volume)

- 4. compliance is dependent on the change in volume NOT the speed with which this new volume is attained
- 5. Hooke's law states that when a truly elastic body (spring) is acted upon by a unit of force, it will stretch 1 unit of length until the elastic limit has been reached
  - a. elastance is the natural ability of matter to change shape from stress and then return to the original shape
  - b. physiologically ELASTANCE = change in pressure / change of volume
  - c. elastance is the reciprocal of compliance, when one inc. the other dec., converse is true also
- 6. elasticity of lungs vs. the thorax
  - a. lungs alone have a volume less than RV
  - b. thorax alone has a volume greater than FRC
  - c. together and relaxed they are at FRC or REL
  - d. forces that cause lung recoil
    - i) elastic properties of lung tissue
    - ii) surface tension produced by a fluid lining the alveolar surface
- 7. pressure-volume curves
  - a. volume is on the Y axis
  - b. pressure is on the X axis
  - c. the slope represents the compliance and rises until the elastic limits of the lungs are reached
  - d. breathing may occur at different points of the pressure volume curve
  - e. compliance changes as the curve's slope changes
  - f. if the slope is more vertical, the lungs are more compliant (low volumes)
  - g. if the slope is more horizontal, the lungs are less compliant (high volumes)
- C. Measuring lung compliance
  - 1. transpulmonary pressure is used to calculate lung compliance a. alveolar pressure - intrapleural pressure
  - 2. intraesophageal pressure is assumed to be equal to transpulmonary pressure at the end of inspiration and exhalation
  - 3. a balloon is positioned in the lower third of the esophagus and inflated
  - 4. static lung compliance or effective compliance
    - a. esophageal pressures are measured and recorded as a person breathes in and holds a specific volume
    - b. increasing volumes of gas are inhaled and pressure measurements are made
    - c. volume is then plotted against pressure and a pressure-volume curve constructed
  - 5. dynamic compliance is calculated by dividing the lung volumes change during a tidal breath by the simultaneous change of intrapleural pressure from the end of expiration to end inspiration
    - a. intrapleural pressure is measured as a change in esophageal p.
    - b. a 1 L lung volume change may produce a 5 cm H2O pressure change
    - c. compliance (CL) is 0.2 L (BTPS)/cm H2O
  - 6. compliance must be related to lung volume, usually related to FRC
    - a. inflate lung with 1 L and obtain 5 cm H2O pressure change compliance =
    - b. newborn = .006 L/cm H2O, when related to volume it equals .067 L/cm H2O/L (FRC is 90 ml)
- D. Measuring thoracic-lung compliance (Total compliance)
  - 1. subject inspires a measured lung volume of air, closes mouth and nose, opens glottis, and relaxes muscle of respiration
  - 2. repetition of this procedure at several volumes is done
  - 3. volume measurements are plotted against pressure measurements

E. Measurement of thoracic compliance

$$\frac{1}{C \text{ total}} = \frac{1}{C \text{ lung } C \text{ thoracic cage}} + \frac{1}{C \text{ lung } C \text{ thoracic cage}}$$

- F. Pressure-Volume curves of patients on ventilators
  - 1. effective dynamic characteristic curve (EDCC)
    - a. peak pressure at specific tidal volumes
    - b. curve reflects elastic and nonelastic resistance properties
    - c. includes airway resistance factor (dynamic conditions)
  - 2. effective static compliance curve (ESCC)
    - a. graphic recording of plateau pressures at specific tidal volumes
    - b. plateau pressure is pressure noted after gas flow ceases (static conditions)
    - c. curve reflects elastic recoil an indication of compliance
  - 3. when EDCC and ESCC are plotted together the space between them reflects airway resistance
    - a. as airway resistance increases the curves will move away or apart
  - 4. conditions that affect the pressure-volume curves
    - a. conditions in which both peak and plateau pressures are elevated
    - b. conditions in which only the peak pressure is elevated
    - c. conditions in which respiratory failure may occur without significant pressure change

### G. Usefulness

- 1. if CL abnormal some pulmonary abnormality exists a. change in FRC
- 2. if CL/FRC is abnormal
  - a. change in quantity or quality of lung tissue
  - b. presence of pulmonary edema
  - c. alteration of surface tension in alveolar lining
- 3. compliance measurements are useful in ventilator patients a. thoracic-lung compliance (ESCC) is most often measured
  - b. changes in compliance are more important than absolute compliance
- 4. excessive pressure ventilation to a low compliant lung may exert enough force to rupture alveoli near the surface of a lung
  - a. barotrauma may allow air to enter pleura through rupture
  - b. natural recoil of the lung causes the lung to collapse producing a PNEUMOTHORAX

#### **II. SURFACE TENSION**

- A. Alveolar surface is lined with a liquid film
  - 1. pressure required to inflate alveoli filled with fluid is less than 1/2 the pressure required to inflate air-filled lungs
  - 2. alveoli have a liquid-air interface and recoil like a bubble
  - 3. if filled with a fluid, alveoli would have a liquid-liquid interface
  - 4. cohesive forces are stronger than adhesive forces at the surface of a fluid
- B. Surface phenomena
  - 1. if a loop of wire is dipped into a soap solution and then removed, the film of liquid will contract bending the wire into a smaller loop

- a. the surface film exerts a force perpendicular to any line on the surface
- b. the surface tension allows a needle to float on the water
- 2. surface under tension contracts to the smallest possible area
  - a. surface molecules are pulled down
  - b. the resulting force on the surface is interfacial tension or surface tension; surface tension = force/length
- 3. Laplace's law states that the pressure within a spherical liquid drop or bubble is inversely proportional to the radius

a. drop 
$$P = \underline{2T}$$

b. bubble 
$$P = \underline{4T}$$

- c. as surface tension increases or as radius decreases, the pressure required to maintain the volume of a bubble increases
- d. note that LaPlace's law does NOT state that surface tension varies with the size of the soap bubble
- 4. in a sphere the tension in the walls tend to make it smaller, but equal and opposite pressures from inside prevent it
- C. Tension in the lung the alveolus does not act like a soap bubble
  - 1. surface tension is high when the alveoli is inflated and low when deflated (unlike a soap bubble)
    - a. this phenomenon is due to a surface active substance surfactant
  - 2. surfactant is a phospholipid (dipalmitoyl lecithin)
    - a. surfactant consists of lipid, lecithin, proteins and carbohydrates
    - b. it appears in the lung between 22-24 weeks of gestation
    - c. surfactant appears when the methyltransferase enzyme systems appear -
    - d. prior to birth the lecithin-sphingomyelin ratios have been used to judge lung maturation -
    - e. surfactant has a hydrophobic end insoluble in water
    - f. surfactant also has a hydrophylic end soluble in water
    - g. surfactant has a half-life of approximately 24 hours and is constantly being produced
    - h. it has the lowest surface tension of any biological substance ever measured
  - 3. as the lung inflates, the surfactant becomes less concentrated on the surface and surface tension increases
  - 4. during exhalation, the surfactant becomes more concentrated and surface tension decreases
  - 5. critical closing pressure: when the size of a bubble decreases it may reach a point where the attraction of the liquid molecules exceed the pressures holding the bubble (alveolus) open
    - a. alveoli collapse
    - b. this phenomenon is called ATELECTASIS
- D. Physiological importance of surfactant
  - 1. alveoli with low surface tension require low air pressure to maintain a given volume
    - a. alveoli throughout the lung at a given time are not the same size but are being held open by equal pressure
  - 2. surfactant concentrations that change as surface area change stabilize the alveoli and keeps them from collapsing
  - 3. alveoli are not like soap bubbles
    - a. a small soap bubble has high pressure while a larger one has low pressure
    - b. small alveoli have pressures approximately equal to inflated ones

- 4. if surfactant is absent or inactive
  - a. alveoli decrease in size
  - b. T increases
  - c. alveoli collapse
  - d. the work of breathing increases

## **III. AIRWAY RESISTANCE**

A. Airflow through bronchi and bronchioles is governed by Poiseuille's law

1. Flow = 
$$\frac{\pi r^4 (P_1 - P_2)}{8 n l}$$

2. a pressure gradient is required to move air into the alveoli or out

3. P1 - P2 = 
$$\frac{\text{flow x 8 n 1}}{\pi r^4}$$

- 4. resistance (R) = alveolar pressure /flow
- 5. R = P1 P2 / flow

6. R = 
$$\frac{8 \text{ n l}}{\pi r^4}$$

- a. viscosity (n) of any gas is very small but may be significant with bronchoconstriction and turbulent flow
- b. radius of airway is very important due to bronchospastic changes
- c. length has only minimal effects
- B. Characteristics of different types of flows
  - 1. laminar flow gas flow is stream lined moving in parallel lines in one direction -
  - 2. turbulent flow gas is moving through a tube at high speeds and in random chaotic motion -
  - 3. transitional flow is a combination of laminar and turbulent flows, it occurs at branch points or distal to partial obstruction
- C. Measuring airway resistance (RAW) requires three values -
  - 1. alveolar pressure -
  - 2. atmospheric pressure
  - 3. instantaneous air flow -
  - 4. normal values: 0.6 2.4 cm H2O/L/sec
    - a. 1/2 of all resistance is in the nasal passages
- D. Factors influencing resistance
  - 1. obstruction of smaller airways
  - 2. physical factors
    - a. R decreases as volume increases
    - b. R increases as volume decreases
- E. Neural regulation (sympathetic relaxes; parasympathetic constricts)
  - 1. bronchioles and alveolar ducts have smooth muscles that react to neural stimulation
  - 2. alveoli have sphincter-like muscles at the opening of the alveoli, contracts independently
  - 3. bronchoconstriction
    - a. narrows bronchioles
    - b. increases R
    - c. decreases anatomical dead space (VDA)
    - d. enlarges alveoli and FRC

- 4. stimulation of sphincter flattens alveoli and expels air (dec. FRC)
- 5. reflexes causing bronchoconstriction
  - a. smoke inhalation
  - b. arterial anoxemia
  - c. increases in PaCO2
  - d. cold air and pulmonary emboli
- 6. reflexes causing dilatation
  - a. deep inspiration
  - b. increase in systemic arterial blood pressure
- F. Chemical regulation affects bronchiolar smooth muscles by:
  - 1. stimulating autonomic ganglia
  - 2. stimulating or blocking receptor sites for postganglionic fibers
    - a. adrenalin bronchodilation
    - b. acetylcholine bronchoconstriction
  - 3. direct action unrelated to nerve fibers
    - a. histamine, bradykinin, SRS-A, leukotrienes
- G. Effects of increased resistance
  - 1. cannot expire in normal time
  - 2. FRC increases
  - 3. work of breathing increases
  - 4. physiological deadspace increases
  - 5. frequency increases (vent.) and alveolar ventilation decreases
- H. Differential diagnosis of increased RAW
  - 1. during exhalation only airway collapse
  - 2. during inspiration upper airway obstruction
  - 3. if reversed by therapy smooth muscle constriction, edema or mucosal congestion, mucous plug
    - a. if relieved by bronchodilator muscle constriction
    - b. if relieved by noradrenalin vascular congestion

# **REGULATION OF AIRWAY RESISTANCE**

System		Co	Constriction I		Dilatation	
I.	Nervous	1.	parasympathetic	1.	<ul> <li>sympathetic - unsure if</li> <li>sym. postganglionic</li> <li>fibers act on</li> <li>a. receptors</li> <li>b. smooth muscles</li> <li>c. inhibit parasym.</li> <li>ganglion cells</li> </ul>	
		2. 3.	subepithelial receptors smoke, dust, chem. irrat. arterial hypoxemia	2.	deep inspiration	
				3.	inc. systemic arterial	
			carotid, poss. aortic		pressure - carotid sinus	
		4. 5. 6.	inc. PaCO2 - medullary receptors by cold, emboli in pul. circ. histamine in arterial (bronchial) or liberated locally by Ag-Ab rx.			
II.	<ul> <li>II. Chemical Method of action - <ul> <li>a. stimulation of autonomic ganglion</li> <li>b. liberating postganglionic mediators</li> <li>c. stim. of blocking receptor sites of postganglionic fibers</li> </ul> </li> <li>d. direct action unrelated by nerve fibers or receptors</li> </ul>					
		1.	acetylcholine - parasym. receptor sites	1.	isoproterenol, epinephrine norepinephrine - stim. recp. sites of sym. post-	
		2.	anticholinesterase agents - blocks enzyme cholinestrase	2.	atropine - blocks effects of postganglionic parasym	
		3.	propranolol - blocks B-adrenoreceptors	3.	methylxanthines - blocks release of chemical mediators on mast cells	
		4.	low alveolar CO2	4.	steroids - reduce inflam- matory components, sensitizes adrenergic receptors sites	
		5.	<ul> <li>histamine (chemical mediator)</li> <li>a. pulmonary circ alveolar sphinct alveolar ducts</li> <li>b. bronchial circ bronchi, bronchial</li> </ul>	er, oles		